

Checklist for attachments for a single study research ethics approval applications to the HREC:

| Document | | Tick if attached | Comment |
|-----------------------|--|------------------|---------|
| 1 | Cover letter that indicates the title, researcher(s), the type of research ethics application, which documents are attached, and that adds any explanations to clarify your application | | |
| 2 | Executive summary of the project (150 words only) | | |
| 3 | Proposal approved by a scientific/proposal committee | | |
| 4 | An ethics application form to provide additional information not covered in the proposal (see two forms: one for researchers in the Faculty of Health Sciences and one for researchers doing health-related research, but not in the Faculty of Health Sciences) | | |
| 5 | Informed consent documentation and checklist (if collaborative study, informed consent from all the centres OR if an affiliated study, the original informed consent documentation of the original study) | | |
| 6 | Advertisements or recruitment materials | | |
| 7 | Questionnaire(s); interview schedule for interviews or focus groups | | |
| 8 | Approval letter of the study by the scientific committee | | |
| 9 | 2-page narrative CVs of all the researchers in the project | | |
| 10 | Proof of ethics training over the past three years for all the researchers in the project | | |
| 11 | Permission letters from governing bodies to conduct the research | | |
| 12 | Goodwill permission letters | | |
| 13 | Any other applicable documentation, e.g. MOU, contracts with collaborators/laboratories, permits, etc. | | |
| 14 | Signed NWU code of conduct for researchers for each team member | | |
| 15 | Signed statistical consultation form | | |
| 16 | Submitted as hard or scanned copies: Printed and signed pages of the ethics application form for the declarations by the project leader, statistical consultation services, director of the research entity | | |
| 17 | Checklist of attachments | | |
| If applicable: | | | |
| 18 | Confidentiality agreement | | |
| 19 | Indemnity form | | |
| 20 | Permission from the project leader if a study is done as an affiliated study under another study or a sub-study under a larger study | | |
| 21 | Form A for delegated ministerial consent in the case of greater than minimal risk research in children with no prospect of direct benefit to them | | |
| 22 | Permission letter of the chairs of the HREC and HHREC if the study is an affiliated study or sub-study under a larger study on another campus than where the student is registered | | |
| 23 | If any non-registered medication is used, approval letter by the Medical Control Council | | |
| 24 | If radio-active substances are used, letter from the radiation control officer | | |