

Faculty of Health Sciences Ethics Office for Research, Training and Support

[health-sciences.ac.za/healthethics](http://www.nwu.ac.za/healthethics)

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| North-West University Animal Care, Health and Safety Research Ethics Committee **NWU-AnimCareREC**  *registered as Animal Research Ethics Committee (AREC) with the South African National Health Research Ethics Council (NHREC) of the National Dept. of Health,* ***reg. no. AREC-130913-01*****Research Ethics Reviewer Report**  by a **cat. D member** (layperson) for the review of **single** or **larger** scientific **studies** using animal vertebrates or higher invertebrates  for research, education/training or repetitive testing purposes  **AnimCare 02-01b4, Version 5.10 (July 2019)** |
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| General Review Identification |

Provide the necessary review information below:

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| Reviewer code | Date of Review |

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| **Click or tap here to enter code.** | Click or tap to enter a date. |

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| General Study Identification |

Provide the necessary descriptions below to identify this project application:

* 1. **Ethics number *(issued upon 1st submission)***

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| **Click or tap here to enter number.** |

* 1. **Full, descriptive title of the study**

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| Click or tap here to enter text. |

* 1. **Name of the responsible researcher/study leader**

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| Click or tap here to enter text. |

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| General Matters of Ethical Concern |

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| Ethically Acceptable Standard of the Science |

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| Is the executive summary clear and truly reflective of the number and type(s) of animals to be used, the study aims, the study design and methodology, etc., and is it written in laymen’s terms? If ALL of these conditions have been met satisfactorily (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Does the study investigate questions that are important *(i.e. the study is relevant and will benefit science and/or the community)*? If ALL of these conditions have been met satisfactorily (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Animal Welfare, Safety |

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| Is it clear to you as layperson why it is necessary to use animals in this study? If ALL of the questions related to this principle have been met satisfactorily addressed (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| It is clear that the researchers considered precautionary safety measures to protect human experimenters, animals and the environment? If ALL of these conditions have been met satisfactorily (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Is the end of study *(i.e. when the study objectives using animals have been met, culminating in the reintroduction of animals into the wild when permitted, reintroduction into breeding programmes, euthanasia or other appropriate means)* clearly described and are you satisfied with what is proposed and how this will affect animal welfare? If ALL of these conditions have been met satisfactorily (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Competence, Legal Matters and Conflict of Interest |

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| Are the information in the required informed consents and goodwill permissions and/or legal authorisations (if and when applicable) appropriate and clear (in layman’s terms)? If it requires any translation, was this clearly indicated how this will be managed? If ALL of these conditions have been met satisfactorily (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Is any researcher and stakeholder conflict of interest appropriately addressed and managed (including transparency & IP protection, and any conflict of interest of team members, collaborators and/or sponsors)? If ALL of these conditions have been met satisfactorily (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Specific Matters of Ethical Concern |

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| Is the ethical principle of “Replacement” (i.e. alternatives) appropriately addressed (i.e. clearly and fully identified and explained in context of the study), and is it satisfactorily motivated how it has been implemented in the planning of the study, and how it will eventual be monitored in the execution of the study? If ALL of the questions related to this principle have been met satisfactorily addressed (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Is the ethical principle of “Reduction” (i.e. minimum number of animals, without compromising scientific validity) appropriately addressed (i.e. clearly and fully identified and explained in context of the study), and is it satisfactorily motivated how it has been implemented in the planning of the study, and how it will eventual be monitored in the execution of the study? If ALL of the questions related to this principle have been met satisfactorily addressed (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Is the ethical principle of “Refinement” (i.e. methods, techniques & experimental design for optimal results and minimal suffering) appropriately addressed (i.e. clearly and fully identified and explained in context of the study), and is it satisfactorily motivated how it has been implemented in the planning of the study, and how it will eventual be monitored in the execution of the study? If ALL of the questions related to this principle have been met satisfactorily addressed (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Is the ethical principle of “Responsibility” (to enhance the quality of life of animals in human care, including to monitor and manage, with clear indications who is responsible for what, as well as not to violate community values) appropriately addressed (i.e. clearly and fully identified and explained in context of the study), and is it satisfactorily motivated how it has been implemented in the planning of the study, and how it will eventual be monitored in the execution of the study? If ALL of the questions related to this principle have been met satisfactorily addressed (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Have all harmful interventions in animals been satisfactorily justified by the applicant, in your opinion, and were all associated aggravating and mitigating factors clearly described, and were the indicated precautionary measures optimal and sufficient? If ALL of these have been met satisfactorily addressed (in your opinion), indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| According to the harm-benefit analysis by the applicant, and in your opinion, does the benefit clearly outweigh the harm? If IN EVERY REASONABLE RESPECT (in your opinion) this have been satisfactorily addressed, indicate “Yes”, otherwise indicate “No” and provide comments, questions and/or recommendations below. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Are there any general comments not mentioned elsewhere, or any other matters of ethical concern that needs clarification or correction? Give specific consideration to matters that you as layperson would think the general public would consider as important. |  | **Yes** | **No** |
| **N/A** | |
| Click or tap here to provide comments, questions and/or recommendations. | | | |

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| Final Review Recommendations |

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| Conditions of approval *(if applicable)* |

Indicate any conditions of approval that should apply:

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| Click or tap here to enter text. |

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| Recommended severity category |

In your opinion, what is the category of the most severe experience of the animals, or cumulative severity of experiences of the animals during the execution of the study? Please select your choice from the drop-down list below:

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| Please indicate the appropriate **category** here **→**  as applicable to this application: | Choose an item from the list. |

Motivation and/or any comments or questions

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| Click or tap here to enter text. |

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| Reviewer’s final recommendation |

In your opinion, what is your final recommendation for the approval level of the project? Please select your choice from the drop-down list below:

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| Please indicate the **approval level** here **→**  as applicable to this application: | Choose an item. |

Motivation and/or any comments or questions

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| Click or tap here to enter text. |

***- End of Report –***